Quality Properties Of Solutions Of The Basic Equation Of Perturbation Theory For One-Dimensional Magnetic Schrodinger Operator

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Abstract. By means of the quadratic forms method under certain conditions on magnetic and electric potential a self-adjoint and one-dimensional Schrodinger operator was constructed. Smoothness and behavior at infinity of the solutions of the basic equation of perturbation theory for one-dimensional magnetic Schrodinger operator was studied.

Keywords. magnetic Schrodinger operator · quadratic form · perturbation theory · magnetic potential.

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1. Self-adjointness

In this item, in the space $L_1(R_1)(R_1 = (-\infty, +\infty))$ we study the self-adjointness of one-dimensional magnetic Schrödinger operator generated by the differential expression

$$\Delta_{a,V} = \left(\frac{1}{i}\frac{d}{dx} + a(x)\right)^2 + V(x),\tag{1}$$

where a(x) and V(x) are magnetic and electric potentials, respectively, and these potentials are real functions satisfying the following conditions:

a) $\Phi(x) \equiv a^2(x) + V(x) + ia'(x) \in L_1(R_1);$ b) $a(x) \in L_1(R_1).$

Subject to conditions a) and b) differential expression (1) may be written in the form

$$\Delta_{a,V} = -\frac{d^2}{dx^2} + W,$$

where

$$W = -2i\frac{d}{dx}a(x) + \Phi(x).$$
(2)

It is known that if a(x) and V(x) are sufficiently smooth bounded functions, then minimal (in this case they are also maximal) operators H_0 and $H = H_0 + W$ that correspond to differential expressions $-\frac{d^2}{dx^2}$ and $\Delta_{a,V}$, respectively, are self-adjoint operators in $L_2(R_1)$ with the same domains of definition $W_2^2(R_1)$ (second order Sobolev space). Generally speaking, under conditions a) and b), the differential expression

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 $\Delta_{a,V}$ doesn't determine the minimal operator on the linear manifold $C_0^{\infty}(R_1)$. For constructing a selfadjoint operator by means of this expression, we will use the method of quadratic forms. To this end, recall some denotation and notation (see for detailed information the books [1, p. 303], [2, p. 185], [3, p. 386]).

Let *E* be Hilbert space and the linear manifold Q(q) be dense in *E*. Denote by $q(\varphi, \psi)$ a complexvalued one-and-a half linear form with domain of definition Q(q), and by $q(\varphi) = q(\varphi, \varphi)$ a quadratic form associated with $q(\varphi, \psi)$. If the one-and-a half form $q(\varphi, \psi)$ is generated by some linear operator *A*, i.e.

$$\forall \varphi \in Q(q), \ \forall \psi \in D(A) \Longrightarrow q(\varphi, \psi) = (\varphi, A\psi),$$

then domain of its definition is denoted by Q(q) = Q(A).

Definition 1. Let the operator A be selfadjoint and lower bounded. The symmetric operator B is said to be A-bounded in the sense of forms if

i) $Q(A) \subseteq Q(B)$,

 $ii) \exists a, b > 0, \forall \varphi \in Q(A) \Longrightarrow |(\varphi, B\varphi)| \le a (\varphi, A\varphi) + b(\varphi, \varphi).$

Then the lower bound of all such a is called A -bound of the operator B in the sense of forms. Let us consider in $L_2(R_1)$ the quadratic forms

$$h_0(\varphi) = \int_{-\infty}^{+\infty} \left|\varphi'\right|^2 dx,$$

$$h_{a,V}(\varphi) = h_0(\varphi) + (W\varphi,\varphi),$$

where W is an operator acting by formula (2). Obviously, $h_0(\varphi)$ corresponds to the self-adjoint operator $H_0 := -\frac{d^2}{dx^2}$ with domain of definition $W_2^2(R_1)$. It is known that $Q(h_0) = W_2^1(R_1) = D(H_0^{1/2})$ (first order Sobolev space), and $\forall \varphi \in Q(h_0), h_0(\varphi) = (H_0^{1/2}\varphi, H_0^{1/2}\varphi)$.

Theorem 1. Let conditions a) and b) be fulfilled. Then there exists a unique lower bounded self-adjont operator $H = H_0 + W$ responding to the form $h_{a,V}(\varphi) = h_0(\varphi) + (W\varphi, \varphi)$ with $Q(H_0) = Q(H)$ such that any essential domain of the operator H_0 is also an essential domain for the operator H. In particular, the space of basic functions $C_0^{\infty}(R_1)$ is an essential domain of the operator H.

Before we pass to the proof of theorem 1, note that the sum $H_0 + W$ is understood in the sense of forms and it may differ from the operator sum.

Proof of theorem 1. Obviously, the operator W acting by formula (2) is symmetric. Show $Q(H_0) \subseteq Q(W)$. Take an arbitrary element φ from $Q(H_0)$. From the equality $Q(H_0) = W_2^1(R_1)$ it follows that $\varphi \in AC_{loc}(R_1) \cap L_{\infty}(R_1)$, i.e. φ is a locally absolute function, and $\varphi(\pm \infty) = 0$. Taking into attention conditions a) and b), from the equality

$$a(x) = a(x_0) + \int_{x_0}^x a'(t)dt$$

it follows that $a(x) \in AC_{loc}(R_1) \cap L_{\infty}(R_1)$.

From condition a) and $\varphi \in AC_{loc}(R_1) \cap L_{\infty}(R_1)$ we have:

$$\begin{vmatrix} +\infty \\ \int_{-\infty}^{+\infty} \Phi(x)\overline{\varphi(x)}dx \end{vmatrix} \le \max_{-\infty < x < +\infty} |\varphi(x)| \int_{-\infty}^{+\infty} |\Phi(x)| \, dx$$

$$= \|\varphi\|_{L_{\infty}(R_1)} \|\Phi(x)\|_{L_1(R_1)} < +\infty.$$
(3)

From the equality

$$\int_{-\infty}^{+\infty} (a(x)\varphi(x))'\overline{\varphi(x)}dx = -\int_{-\infty}^{+\infty} (a(x)\varphi(x))\overline{\varphi'(x)}dx$$

and the Schwatz inequality we get:

$$\begin{vmatrix} +\infty \\ \int_{-\infty}^{+\infty} -2i(a(x)\varphi(x))'\overline{\varphi(x)}dx \end{vmatrix} \leq 2 \int_{-\infty}^{+\infty} \left| a(x) \|\varphi(x)\| \overline{\varphi'(x)} \right| dx$$

$$\leq 2 \|a\|_{L_{\infty}(R_{1})} \|\varphi(x)\|_{L_{2}(R_{1})} \|\varphi'(x)\|_{L_{2}(R_{1})} < +\infty.$$

$$\tag{4}$$

Then from inequalities (3) and (4) it follows that $\forall \varphi \in Q(H_0)$ the expression

$$(W\varphi,\varphi) = \int_{-\infty}^{+\infty} (W\varphi(x))\overline{\varphi(x)}dx$$
$$= -\int_{-\infty}^{+\infty} \left\{-2i(a(x)\varphi(x))' + \left[a^2(x) + V(x) + ia'(x)\right]\varphi(x)\right\}\overline{\varphi(x)}dx$$

has a meaning. This means that $\varphi \in Q(W)$, whence it follows that $Q(H_0) \subseteq Q(W)$.

Conditions a) and b) yield that the operator

$$W = -2i\frac{d}{dx}a(x) + \Phi(x) = -2ia(x)\frac{d}{dx} + \overline{\Phi(x)}$$

belongs to the Kato class. From the Schechter theorem [4, theorem 7.3] we get that the relative H_0 -bound of the operator W equals zero. If we take into account that the space of the basic functions $C_0^{\infty}(R_1)$ is the essential domain of the operator H_0 , we can be convinced that all the statements of the theorem follow from KLMN theorem (see e.i. [(5 p. 11)]. The theorem is proved.

2. Investigation of the equation $f + K(\lambda)f = 0$ on the half-plane $C_+ = \{\lambda \in C : \text{Im}\lambda > 0\}$

Let $h(x) \in C_0^{\infty}(R_1)$ and $z = \lambda^2$, $\text{Im}\lambda > 0$. Assume $u_0(\lambda) \equiv u_0(x, \lambda) = R_0(\lambda^2)h(x)$, $u(\lambda) \equiv u(x, \lambda) = R(\lambda^2)h(x)$, where $R_0(\lambda^2) = (H_0 - \lambda^2)^{-1}$ and $R(\lambda^2) = (H - \lambda^2)^{-1}$ are the resolvents of the operators H_0 and H, respectively. Taking into account that the operators $-i\frac{d}{dx}$ and $R_0(\lambda^2)$ are permutational, $R_0(\lambda^2)$ is an integral operator with the kernel

$$G_0(x,y,\lambda) = -\frac{e^{i\lambda|x-y|}}{2i\lambda}$$

and the space of all basic functions $C_0^{\infty}(R_1)$, according to theorem 1, is the essential domain of both operators H_0 and H, for $u(\lambda)$ we get the inhomogeneous equation

$$u(\lambda) + K(\lambda)u(\lambda) = u_0(\lambda), \tag{5}$$

where $K(\lambda)$ is an integral operator with the kernel

$$K(x, y, \lambda) = -\frac{e^{i\lambda|x-y|}}{2i\lambda} \left[\Phi(y) + 2\lambda sgn(x-y)a(y) \right].$$

Denote by $C(R_1)$ the Banach space of functions continuous and bounded on R_1 and with the norm $\sup_{-\infty \le x \le +\infty} |f(x)| = ||f||_{C(R_1)} < +\infty.$

In the paper [6, theorem 1] it is proved that the operator $K(\lambda)$ is analytic with respect to λ in the upper part of the complex plane $C_+ = \{\lambda \in C : \text{Im}\lambda > 0\}$ in the uniform operator topology and for all λ from $\overline{C}_+ \setminus \{0\} = \{\lambda \in C : \text{Im}\lambda \ge 0, \lambda \neq 0\}$ is compact in $C(R_1)$, and continuous in the uniform operator topology. These results allow as to apply to the equation

$$f + K(\lambda)f = 0 \tag{6}$$

the Fredholm analytic theorem [1, p. 224, theorem VI.14]. According to Fredholm's theory, inhomogeneous equation (5) for $\text{Im}\lambda > 0$ has a unique solution in $C(R_1)$, if the corresponding homogeneous

equation (6) has only a zero solution. Denote by \mathcal{L}_+ the set of those points from the half-plane C_+ for which homogeneous equation (6) has a nontrivial solution in $C(R_1)$. Preliminarily we prove the following two lemmas.

Lemma 1. If conditions a) and b) are fulfilled, then the operator $M(\lambda)$ with the kernel

$$M(x, y, \lambda) = K(x, y, \lambda)e^{-i\lambda(|x| - |y|)}$$

is analytic with respect to λ in the half-plane C_+ in uniform operator topology and for all λ from $\overline{C}_+ \setminus \{0\}$ is compact in $C(R_1)$, and continuous in uniform operator topology.

Proof. Let $Im\lambda \ge 0$. Then from the equality

$$e^{i\lambda|x-y|}e^{-i\lambda(|x|-|y|)} = e^{i\lambda(|x-y|+|y|-|x|)}$$

and inequality

we have

$$\left| e^{i\lambda(|x-y|+|y|-|x|)} \right| = e^{-\operatorname{Im}\lambda(|x-y|+|y|-|x|)} \le 1.$$

 $|x-y| \ge |x| - |y|$

Hence we get

$$|M(x, y, \lambda)| \le |T(x, y, \lambda)|.$$

The proof of the lemma follows from this inequality and theorem 1 of [6].

Lemma 2. Let τ be an arbitrary positive number. Then for any real numbers z and y the following equality is valid

$$\int_{-\infty}^{+\infty} e^{-\tau(|x-y|+|x-z|)} dx = \frac{1}{\tau} e^{-\tau|y-x|} (1+\tau|y-z|).$$
(7)

Proof. At first consider the case y < z. Represent the integral

$$J = \int_{-\infty}^{+\infty} e^{-\tau |x-y|} e^{-\tau |x-z|} dx$$

in the sum of three integrals

$$J = \int_{-\infty}^{y} e^{-\tau |x-y|} e^{-\tau |x-z|} dx + \int_{y}^{z} e^{-\tau |x-y|} e^{-\tau |x-z|} dx + \int_{z}^{+\infty} e^{-\tau |x-y|} e^{-\tau |x-z|} dx = J_1 + J_2 + J_3.$$
(8)

Since in the integral $J_1 \ x < y < z$, we have

$$J_1 = \int_{-\infty}^{y} e^{-\tau |x-y|} e^{-\tau |x-z|} dx = \int_{-\infty}^{y} e^{-\tau [(y-x)+(z-x)]} dx.$$

Making a change s = (y - x) + (z - x), in the last integral we get

$$J_1 = \int_{+\infty}^{z-y} e^{-\tau s} \frac{ds}{-2} = \frac{1}{2\tau} e^{-\tau s} \Big|_{+\infty}^{z-y} = \frac{1}{2\tau} e^{-\tau(z-y)}.$$
(9)

Taking into account that in the integral $J_2 y < x < z$, we have

$$J_2 = \int_y^z e^{-\tau(x-y)} e^{-\tau(z-x)} dx = \int_y^z e^{-\tau(z-y)} dx = (z-y) e^{-\tau(z-y)}.$$
 (10)

From the inequalities y < z < x it follows

$$J_3 = \int_{z}^{+\infty} e^{-\tau |x-y|} e^{-\tau |x-z|} dx = \int_{z}^{+\infty} e^{-\tau [(x-y)+(x-z)]} dx.$$

If in the last integral we make the change s = (x - y) + (x - z), we get

$$J_3 = \int_{z}^{+\infty} e^{-\tau [(x-y)+(x-z)]} dx = \int_{z-y}^{+\infty} e^{-\tau s} \frac{ds}{2} = -\frac{1}{2\tau} e^{-\tau s} \Big|_{z-y}^{+\infty} = \frac{1}{2\tau} e^{-\tau (z-y)}.$$
 (11)

From equalities (8)-(11) for y < z we get

$$J = \int_{-\infty}^{+\infty} e^{-\tau |x-y|} e^{-\tau |x-z|} dx = \frac{1}{\tau} e^{-\tau (z-y)} (1 + \tau (z-y)).$$
(12)

Taking into account the symmetry of the integral J with respect to variables z and y, from (12) we get equality (7). The lemma is proved.

Theorem 2. Let $\sigma + i\tau = \lambda \in \mathcal{L}_+$ and f(x) be a nontrivial solution of homogeneous equation (6) from $C(R_1)$. Then, if the conditions a) and b) are fulfilled, then

$$\sup_{-\infty < x < +\infty} e^{\tau |x|} |f(x)| < +\infty.$$
(13)

Proof. Let $\sigma + i\tau = \lambda \in \mathcal{L}_+$, f(x) be the solution of equation (6), χ_n be an operator of multiplication by the characteristic function of the section [-n, n], $K^{(n)}(\lambda) = K(\lambda)\chi_n$. It is clear that

$$\lim_{n \to \infty} \left\| K^{(n)}(\lambda) - K(\lambda) \right\|_{C(R_1) \to C(R_1)} = 0.$$

Then according to general theory of compact operators [see [7, p. 41] or [8]) there exists a sequence of numbers $\{\gamma_n\}$ and a sequence of functions $\{f_n(x)\} \subset C(R_1)$ such that for any n

$$f_n(x) + \gamma_n K^{(n)}(\lambda) f_n(x) = 0,$$

moreover, $\lim_{n\to\infty}\gamma_n = 1$, $\lim_{n\to\infty} \|f_n(x) - f(x)\|_{C(R_1)=0}$. It is clear that for any n the function

$$g_n(x) = e^{-i\lambda|x|} f_n(x)$$

is the solution of the equation

$$g_n(x) + \gamma_n M^{(n)}(\lambda)g_n(x) = 0,$$

where $M^{(n)}(\lambda)$ is an integral operator with the kernel

$$M^{(n)}(x, y, \lambda) = K^{(n)}(x, y, \lambda)e^{-i\lambda(|x| - |y|)} = K(x, y, \lambda)\chi_n(x)e^{-i\lambda(|x| - |y|)}.$$

It is easy to show that if $y \in [-n, n], |x| \ge n + 1$, then there exists c > 0 such that

$$K(x, y, \lambda)| \le \frac{c}{2|\lambda|} e^{-\operatorname{Im}\lambda|x|} \left(|\Phi(y)| + |a(y)| \right).$$

Hence and from the equality

$$f_n(x) = -\gamma_n K^{(n)}(\lambda) f_n(x)$$

it follows that if the conditions a) and b) are fulfilled, then the function $g_n(x) = e^{-i\lambda|x|} f_n(x)$ belongs to the space $C(R_1)$. Show that

$$\sup_{n} \|g_{n}\|_{C(R_{1})} = \sup_{n} \left\{ \sup_{-\infty < x < +\infty} |g_{n}(x)| \right\} < +\infty.$$
(14)

It inequality (14) is not valid, then there will be found the subsequence $\{g_{n_l}(x)\} \subset \{g_n(x)\}$ such that $\lim \|g_{n_l}\|_{C(R_1)} = +\infty$. For the normalized sequence $\tilde{g}_{n_l} = \frac{g_{n_l}(x)}{\|g_{n_l}\|_{C(R_1)}}$ we have

$$\widetilde{g}_{n_l}(x) = -\gamma_{n_l} M^{(n_l)}(\lambda) \widetilde{g}_{n_l}(x).$$
(15)

According to lemma 1, the operator $M^{(n_l)}(\lambda)$ is compact. Therefore, from equality (15) is follows that there exist $\tilde{g}(x) \in C(R_1)$ and the subsequence $\{\tilde{g}_{n_{l_k}}(x)\} \subset \{g_{n_l}(x)\}$ such that the sequence $\tilde{g}_{n_{l_k}}(x)$ uniformly converges to $\tilde{g}(x)$ as $k \to \infty$. Passing to limit we find

$$f(x) = \lim_{k \to \infty} \widetilde{f}_{n_{l_k}}(x) = \lim_{k \to \infty} e^{i\lambda |x|} \widetilde{g}_{n_{l_k}}(x) = e^{i\lambda |x|} \widetilde{g}(x).$$

Hence it follows that the function $\tilde{g}(x)$ may not identically equal to zero since by the supposition the function f(x) is a nontrivial solution of equation (6). On the other hand, by $\lim_{k\to\infty} ||g_{n_{l_k}}||_{C(R_1)} = +\infty$ we get $\tilde{g}(x) = 0$. The obtained contradiction shows the validity of inequality (14). Inequality (13) follows from inequality (14) and equalities $g_n(x) = e^{-i\lambda|x|}f_n(x)$, $\lim_{n\to\infty} ||f_n(x) - f(x)||_{C(R_1)} = 0$. The theorem is proved.

Theorem 3. Let $\sigma + i\tau = \lambda \in \mathcal{L}_+$ and f(x) be a nontrivial solution of homogeneous equation (6) from $C(R_1)$. Then if the conditions a) and b) are fulfilled, then $f(x) \in W_2^1(R_1)$.

Proof. From theorem 2 it follows that $f(x) \in C(R_1) \cap L_2(R_1)$. Show that the generalized derivative of the function f(x) also belongs to $L_2(R_1)$. To this end, we calculate the first order derivative of the function

$$K(x, y, \lambda) = -\frac{e^{i\lambda|x-y|}}{2i\lambda} \left[\Phi(y) + 2\lambda sgn(x-y)a(y) \right]$$

with respect to the variable x:

$$\frac{\partial K(x,y,\lambda)}{\partial x} = -\frac{e^{i\lambda|x-y|}}{2}sgn(x-y)\left[\Phi(y) + 2\lambda sgn(x-y)a(y)\right]$$
$$-2\frac{e^{i\lambda|x-y|}}{i}\delta(x-y)a(y) = -\frac{e^{i\lambda|x-y|}}{2}sgn(x-y)\Phi(y)$$
$$-\lambda e^{i\lambda|x-y|}a(y) - 2\frac{e^{i\lambda|x-y|}}{i}\delta(x-y)a(y), \tag{16}$$

where $\delta(x - y)$ is the Dirac function. Taking into account the equality $e^{i\lambda|x-y|}\delta(x-y) = \delta(x-y)$ in (16), we get

$$\frac{\partial K(x,y,\lambda)}{\partial x} = -\frac{e^{i\lambda|x-y|}}{2}sgn(x-y)\Phi(y) - \lambda e^{i\lambda|x-y|}a(y) + 2i\delta(x-y)a(y).$$
(17)

Note that the function a(y) is continuous, the order $\delta(x-y)$ of the function equals zero, and therefore the derivative $\delta(x-y)a(y)$ has a meaning. Using the equalities

$$f(x) = -K(\lambda)f(x) = -\int_{-\infty}^{+\infty} K(x, y, \lambda)f(y)dy,$$

from (17) we get:

$$f'(x) = -\int_{-\infty}^{+\infty} \frac{\partial K(x, y, \lambda)}{\partial x} f(y) dy = \psi_1(x, \lambda) + \psi_2(x, \lambda) + \psi_3(x, \lambda),$$

where

$$\psi_1(x,\lambda) = \int_{-\infty}^{+\infty} \frac{e^{i\lambda|x-y|}}{2} sgn(x-y)\Phi(y)f(y)dy,$$
$$\psi_2(x,\lambda) = \int_{-\infty}^{+\infty} \lambda e^{i\lambda|x-y|}a(y)f(y)dy,$$
$$\psi_3(x,\lambda) = -2i \int_{-\infty}^{+\infty} \delta(x-y)a(y)f(y)dy.$$

Show that all three functions $\psi_1(x, \lambda)$, $\psi_2(x, \lambda)$, $\psi_3(x, \lambda)$ belong to the space $L_2(R_1)$. From the equalities

$$\psi_3(x,\lambda) = -2i \int_{-\infty}^{+\infty} \delta(x-y)a(y)f(y)dy = -2ia(x)f(x)$$

and inequality (14) and also from the conditions on the function a(x) it follows that $\psi_3(x,\lambda) \in L_2(R_1)$. Now prove that $\psi_1(x,\lambda) \in L_2(R_1)$. To this end, we calculate the integral

$$\begin{split} &\int_{-\infty}^{+\infty} |\psi_1(x,\lambda)|^2 \, dx = \int_{-\infty}^{+\infty} \left| \int_{-\infty}^{+\infty} \frac{e^{i\lambda|x-y|}}{2} sgn(x-y) \Phi(y) f(y) dy \right|^2 \, dx \\ &= \int_{-\infty}^{+\infty} \left\{ \int_{-\infty}^{+\infty} \frac{e^{i\lambda|x-y|}}{2} sgn(x-y) \Phi(y) f(y) dy \right\} \\ &\quad \times \left\{ \int_{-\infty}^{+\infty} \frac{e^{-i\overline{\lambda}|x-z|}}{2} sgn(x-z) \overline{\Phi(z)} f(z) dz \right\} \, dx \\ &= \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \left\{ \int_{-\infty}^{+\infty} \frac{e^{i\lambda|x-y|}}{2} sgn(x-y) \frac{e^{-i\overline{\lambda}|x-z|}}{2} sgn(x-z) dx \right\} \\ &\quad \times \Phi(y) f(y) \overline{\Phi(z)} f(z) dy dz \\ &= \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \left\{ \frac{1}{4} \int_{-\infty}^{+\infty} e^{i\sigma(|x-y|-|x-z|)-\tau(|x-y|+|x-z|)} sgn(x-y) sgn(x-z) \, dx \right\} \\ &\quad \times \Phi(y) f(y) \overline{\Phi(z)} f(z) dy dz, \end{split}$$

whence, according to lemma 2, we have the estimation

$$\int_{-\infty}^{+\infty} |\psi_1(x,\lambda)|^2 \, dx \le \frac{1}{4\tau} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} e^{-\tau|y-z|} \left(1+\tau \left|y-z\right|\right) |\Phi(y)f(y)| \left|\overline{\Phi(y)f(y)}\right| \, dy dz.$$

From inequalities (13) and conditions on the function $\Phi(x)$ it follows that $\psi_1(x, \lambda) \in L_2(R_1)$. Quite similarly we get $\psi_2(x, \lambda) \in L_2(R_1)$. The theorem is proved.

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