

On solvability of a boundary value problem for a second order elliptic differential-operator equations with a complex linear parameter

Bahram A. Aliev* · Vugar Z. Kerimov

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Abstract. *In separable Hilbert space H , we study solvability of a boundary value problem for a second order elliptic differential-operator equation in the case when one and the same complex parameter linearly enters into the equation and into one of the boundary conditions.*

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1 Introduction

Solvability of boundary value problems for second order elliptic differential-operator equations when one the same parameter enters into the equation and into boundary conditions were studied in various aspects in [1-6] and others. In the present paper, in a separable Hilbert space H , we study solvability of the following boundary value problem

$$L(\lambda)u := \lambda u(x) - u''(x) + Au(x) = f(x), \quad x \in (0, 1), \quad (1.1)$$

$$L_1(\lambda)u := u'(0) + \lambda u(1) = f_1, \quad L_2u := u(0) = f_2, \quad (1.2)$$

where λ is a complex parameter; A is φ -positive operator in H (definition of φ -positive operator will be given below). It is proved that for sufficiently large $|\lambda|$ at some angle $|\arg \lambda| \leq \varphi < \pi$ boundary value problem (1.1), (1.2) is non-coercively solvable with respect to u in the space $L_p((0, 1); H)$.

In view of the trace theorem non-coerciveness of boundary value problem (1.1), (1.2) is characterized by the fact that when we look for the solution of problem (1.1), (1.2) belonging to $W_p^2((0, 1); H(A), H)$, the elements f_1 and f_2 can not be taken from natural interpolation spaces $(H(A), H)_{\frac{1}{2} + \frac{1}{2p}, p}$ and $(H(A), H)_{\frac{1}{2p}, p}$ respectively. Note that they are taken

* Corresponding author

B.A. Aliev
Institute of Mathematics and Mechanics of NAS of Azerbaijan
E-mail: aliyevbahram@yandex.ru

V.Z. Kerimov
Azerbaijan State Pedagogical University, Baku, Azerbaijan
E-mail: vugarkerimli@mail.ru,

from narrower interpolation spaces $(H(A^2), H)_{\frac{1}{2} + \frac{1}{4p}, p}$ and $(H(A^2), H)_{\frac{1}{4} + \frac{1}{4p}, p}$ respectively. In this connection, the function $f(x)$ can not be taken from the space $L_p((0, 1); H)$. This function has to be taken from a narrower space, more exactly from the space $L_p((0, 1); H(A^{1/2}))$, $p \in (1, +\infty)$. As a result there is no isomorphism realized between the solution belonging to $W_p^2((0, 1); H(A), H)$ and the right hand side of problem (1.1), (1.2). Moreover, some non-coercive estimation in the space $L_p((0, 1); H)$, $p \in (1, +\infty)$, is established for solving boundary value problem (1.1), (1.2).

A similar situation happened in [6], where solvability of the following boundary value problem were studied in H :

$$L(\lambda)u := \lambda u(x) - u''(x) + Au(x) = f(x), \quad x \in (0, 1), \quad (1.3)$$

$$\begin{aligned} L_1(\lambda)u &:= u'(1) + \lambda Bu(0) = f_1, \\ L_2u &:= u'(0) = f_2, \end{aligned} \quad (1.4)$$

where λ is a complex parameter; A is φ -positive operator in H ; B is a linear bounded operator in spaces $H(A)$ and H . It was proved that for sufficiently large $|\lambda|$ at some angle $|\arg \lambda| \leq \varphi < \pi$ the problem (1.3), (1.4) is non-coercively solvable in the space $L_p((0, 1); H)$, $p \in (1, \infty)$, with respect to u . As was noted in [6] non-coerciveness of the boundary value problem (1.3), (1.4) manifests itself mainly in two moments.

1) It follows from the trace theorem that the element f_2 can not belong to the interpolation space $(H(A), H)_{\frac{1}{2} + \frac{1}{2p}, p}$. But this element should belong to narrower interpolation space, namely the space $(H(A), H)_{\frac{1}{2p}, p}$, although at the same time the element f_1 may belong to the space $(H(A), H)_{\frac{1}{2} + \frac{1}{2p}, p}$.

2) The vector-function $f(x)$ cannot be taken from the space $L_p((0, 1); H)$. This function should be taken from narrower space, more exactly, from the space $L_p((0, 1); H(A^{1/2}))$.

Note that in the case when boundary condition (1.4) does not contain the complex parameter λ and B is a linear bounded operator subjected to the operator $A^{1/2}$ in certain sense, then solvability of the obtained boundary value problems was studied in [7], where it was proved under certain conditions the considered problem is coercively solvable in the space $L_p((0, 1); H)$, $p \in (1, \infty)$. A boundary value problem for equation (1.1) with the following nonregular Birkhoff-Tamarkin boundary conditions also has non-coercively properties:

$$\begin{aligned} L_1u &:= \alpha u'(0) + \beta u'(1) + \gamma u(0) + \delta u(1) = f_1, \\ L_2u &:= \alpha u(0) - \beta u(1) = f_2, \end{aligned} \quad (1.5)$$

where λ is a complex parameter; $\alpha, \beta, \gamma, \delta$ are some complex numbers satisfying the condition $\alpha\delta + \beta\gamma \neq 0$. Solvability of the boundary value problem (1.1), (1.5) in the Hilbert space H , was studied in the monograph [8, Chapter 5, Section 5.5], where it was shown that for sufficiently large $|\lambda|$ at some angle $|\arg \lambda| \leq \varphi < \pi$, boundary value problem (1.1), (1.5) is non-coercively solvable in the space $L_p((0, 1); H)$, $1 < p < \infty$.

Some spectral properties of various boundary value problems for a second order elliptic differential-operator equation on a finite segment, with the some spectral parameter in the equation and in boundary conditions were studied in [9]-[19].

We also note the papers [20], [21], where some spectral properties of boundary value problems were studied for a second order ordinary differential equation with boundary conditions similar to boundary condition (1.2).

We introduce definitions and notions used in the paper.

Let E_1 and E_2 be Banach spaces. The set $E_1 \dot{+} E_2$ of all vectors of the form (u, v) , where $u \in E_1, v \in E_2$, with ordinary coordinate-linear operators and with the norm

$$\|(u, v)\|_{E_1 \dot{+} E_2} := \|u\|_{E_1} + \|v\|_{E_2}$$

is a Banach spaces and is called a direct sum of Banach spaces E_1 and E_2 .

Let E_1 and E be two Banach space. Denote by $B(E_1, E)$ a Banach space of all linear bounded operators acting from E_1 to E with an ordinary operator norm. In particular case, $B(E) := B(E, E)$.

Definition 1.1 A linear closed operator A in Hilbert space H will be called φ -positive if its domain of definition $D(A)$ is dense in H and for some $\varphi \in [0, \pi)$, for all points from the angle $|\arg \mu| \leq \varphi$ (including $\mu = 0$) there exist operators $(A + \mu I)^{-1}$, for which these μ we have the estimation

$$\left\| (A + \mu I)^{-1} \right\|_{B(H)} \leq C(1 + |\mu|)^{-1},$$

where I is a unit operator in H , $C = \text{const} > 0$. For $\varphi = 0$ the operator A is called positive.

A simple example of a φ -positive operator is a self-adjoint positive-definite operator A acting in a Hilbert space H . Note that φ -positivity of the operator A follows from φ -positivity of the operator A^α , $\alpha \in (0, 1)$.

Let A be a φ -positive operator in H . Since the inverse operator A^{-1} is bounded in H , then

$$H(A^n) := \left\{ u : u \in D(A^n), \|u\|_{H(A^n)} = \|A^n u\|_H \right\}, n \in N,$$

is a Hilbert space whose is equivalent to the norm of the graph of the operator A^n .

Let A be a φ -positive operator in a Hilbert space H . Then it is known that the operator A is a generating operator analytic for $t > 0$, the semigroups e^{-tA} , and this semigroup exponentially decreases, i.e. there exist two numbers $C > 0$, $\delta_0 > 0$ such that $\|e^{-tA}\| \leq C e^{-\delta_0 t}$, $0 \leq t < +\infty$.

Definition 1.2 ([see.22, theorem 1.14.5]). Let A be a φ -positive operator in the space H . Then interpolation spaces $(H(A^n), H)_{\theta, p}$ of Hilbert spaces $H(A^n)$ and H are determined by the equality

$$\begin{aligned} (H(A^n), H)_{\theta, p} &:= \left\{ u : u \in H, \|u\|_{(H(A^n), H)_{\theta, p}} \right. \\ &:= \left. \left(\int_0^{+\infty} t^{-1+n\theta p} \|A^n e^{-tA} u\|_H^p dt \right)^{\frac{1}{p}} < \infty \right\}, \theta \in (0, 1), p > 1, n \in N. \end{aligned}$$

And this time, by definition $(H(A^n), H)_{0, p} := H(A^n)$, $(H(A^n), H)_{1, p} := H$.

By $L_p((0, 1); H)$, $1 < p < \infty$, we denote a Banach space (for $p = 2$ a Hilbert space) vector-functions $x \rightarrow u(x) : [0, 1] \rightarrow H$, strongly measurable and summable in the p -th degree, with the norm

$$\|u\|_{L_p((0,1);H)} := \left(\int_0^1 \|u(x)\|_H^p dx \right)^{1/p} < \infty,$$

and by $W_p^n((0, 1); H(A^n), H) := \{u : A^n u, u^{(n)} \in L_p((0, 1); H)\}$ a Banach space of vector-functions with the norm

$$\|u\|_{W_p^n((0,1);H(A^n),H)} := \|A^n u\|_{L_p((0,1);H)} + \|u^{(n)}\|_{L_p((0,1);H)}.$$

It is known that [22, theorem 1.8.2] if $u \in W_p^n((0, 1); H(A^n), H)$, then

$$u^{(j)}(\cdot) \in (H(A^n), H)_{\frac{j+\frac{1}{p}}{n}, p}, j = 0, \dots, n-1.$$

By Ff and $F^{-1}f$ we denote Fourier transformation and inverse Fourier transformation of the function f from $L_p(R; H)$, where $R = (-\infty, +\infty)$.

$$Ff := (Ff)(\sigma) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} e^{-i\sigma x} f(x) dx,$$

$$F^{-1}f := (F^{-1}f)(x) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} e^{i\sigma x} f(\sigma) d\sigma.$$

The mapping $\sigma \rightarrow T(\sigma) : R \rightarrow B(H)$ is called a Fourier multiplier in the space $f \in L_p(R; H)$, if

$$\|F^{-1}TFf\|_{L_p(R;H)} \leq C \|f\|_{L_p(R;H)}, \quad f \in L_p(R; H).$$

It is known [23, p.346] that if the mapping $\sigma \rightarrow T(\sigma) : R \rightarrow B(H)$ is continuously differentiable and

$$\|T(\sigma)\| \leq C, \quad \|T'(\sigma)\| \leq C |\sigma|^{-1}, \quad \sigma \in R,$$

then the function $T(\sigma)$ is a Fourier multiplier (Mikhlin-Schwartz theorem).

2 Homogeneous equation

First, in a separable Hilbert space H , we consider the following boundary value problem

$$L(\lambda)u := \lambda u(x) - u''(x) + Au(x) = 0, \quad x \in (0, 1), \quad (2.1)$$

$$\begin{aligned} L_1(\lambda)u &:= u'(0) + \lambda u(1) = f_1, \\ L_2u &:= u(0) = f_2, \end{aligned} \quad (2.2)$$

where λ is a complex parameter; A is φ -positive operator in H .

Theorem 2.1 *Let A be φ -positive operator in H , where $\varphi \in [0, \frac{\pi}{2})$. Then for*

$$f_k \in (H(A^2), H)_{\frac{3}{4} - \frac{k}{4} + \frac{1}{4p}, p}, \quad p \in (1, +\infty), \quad k = 1, 2$$

and for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, problem (2.1), (2.2) has a unique solution $u \in W_p^2((0, 1); H(A), H)$ and the following non-coercive estimation is valid for solving it

$$\begin{aligned} &|\lambda| \|u\|_{L_p((0,1);H)} + \|u''\|_{L_p((0,1);H)} + \|Au\|_{L_p((0,1);H)} \\ &\leq C \sum_{k=1}^2 \left(\|f_k\|_{(H(A^2), H)_{\frac{3}{4} - \frac{k}{4} + \frac{1}{4p}, p}} + |\lambda|^{\frac{k+1}{2} - \frac{1}{2p}} \|f_k\|_H \right), \end{aligned} \quad (2.3)$$

where $C > 0$ is some constant independent of λ .

Proof. By [8, lemma 5.4.2/6], for $|\arg \lambda| \leq \varphi < \pi$, there exists an analytic for $x > 0$, and strongly continuous for $x \geq 0$, semigroup $e^{-x(A+\lambda I)^{1/2}}$. By [8, lemma 5.3.2/1], for the function $u(x)$ to be the solution of equation (2.1), belonging to $W_p^2((0, 1); H(A), H)$, $p \in (1, +\infty)$, it is necessary and sufficient that for $|\arg \lambda| \leq \varphi < \pi$,

$$u(x) = e^{-x(A+\lambda I)^{1/2}} g_1 + e^{-(1-x)(A+\lambda I)^{1/2}} g_2, \quad (2.4)$$

where $g_1, g_2 \in (H(A), H)_{\frac{1}{2p}, p}$.

Require the function of the form (2.4) satisfy boundary conditions (2.2). Then with respect to the elements g_1 and g_2 we get the following system

$$\left[-(A + \lambda I)^{1/2} + \lambda e^{-(A+\lambda I)^{1/2}} \right] g_1 + \left[(A + \lambda I)^{1/2} e^{-(A+\lambda I)^{1/2}} + \lambda I \right] g_2 = f_1, \quad (2.5)$$

$$g_1 + e^{-(A+\lambda I)^{1/2}} g_2 = f_2.$$

Define $v_1 := (A + \lambda I)^{1/2} g_1$, $v_2 := (A + \lambda I)^{1/2} g_2$. Then from (2.5) we get

$$\left[-I + \lambda(A + \lambda I)^{-1/2} e^{-(A+\lambda I)^{1/2}} \right] v_1 + \left[e^{-(A+\lambda I)^{1/2}} + \lambda(A + \lambda I)^{-1/2} \right] v_2 = f_1,$$

$$(A + \lambda I)^{-1/2} v_1 + (A + \lambda I)^{-1/2} e^{-(A+\lambda I)^{1/2}} v_2 = f_2. \quad (2.6)$$

The coefficients of the system (2.5) are linear combinations of bounded, commuting operators I , $(A + \lambda I)^{-1/2}$, $e^{-(A+\lambda I)^{1/2}}$, $(A + \lambda I)^{1/2}$, $(A + \lambda I)^{-1/2} e^{-(A+\lambda I)^{1/2}}$. Therefore the system (2.6) may be solved as in the scalar case. The "determinant" of the system (2.6) is of the form

$$D(\lambda) = -\lambda(A + \lambda I)^{-1} \left[I - \lambda^{-1}(A + \lambda I)R(\lambda) \right],$$

where

$$R(\lambda) := \lambda(A + \lambda I)^{-1} e^{-2(A+\lambda I)^{1/2}} - 2(A + \lambda I)^{-1/2} e^{-(A+\lambda I)^{1/2}}.$$

By [8, lemma 5.4.2/6], $\|\lambda^{-1}(A + \lambda I)R(\lambda)\|_{B(H)} \rightarrow 0$, for $|\arg \lambda| \leq \varphi < \pi$, $|\lambda| \rightarrow \infty$. Consequently, for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, the system (2.6) has a unique solution with respect to v_k , $k = 1, 2$, and

$$v_1 = R_{11}(\lambda) f_1 + \left[(A + \lambda I)^{1/2} + R_{21}(\lambda) \right] f_2,$$

$$v_2 = \left[\lambda^{-1}(A + \lambda I)^{1/2} + R_{12}(\lambda) \right] f_1 + \left[\lambda^{-1}(A + \lambda I) + R_{22}(\lambda) \right] f_2,$$

where

$$\|R_{jk}(\lambda)\|_{B(H)} \rightarrow 0 \quad (j, k = 1, 2), \text{ for } |\arg \lambda| \leq \varphi, |\lambda| \rightarrow \infty.$$

Consequently

$$\begin{aligned} g_1 &= (A + \lambda I)^{-1/2} R_{11}(\lambda) f_1 + \left[I + (A + \lambda I)^{-1/2} R_{21}(\lambda) \right] f_2, \\ g_2 &= \left[\lambda^{-1} I + (A + \lambda I)^{-1/2} R_{12}(\lambda) \right] f_1 \\ &+ \left[\lambda^{-1}(A + \lambda I)^{1/2} + (A + \lambda I)^{-1/2} R_{22}(\lambda) \right] f_2. \end{aligned} \quad (2.7)$$

Having substituted (2.7) in (2.2) we obtain

$$\begin{aligned} u(x) = & e^{-x(A+\lambda I)^{1/2}} \left[(A + \lambda I)^{-1/2} R_{11}(\lambda) f_1 + \left(I + (A + \lambda I)^{-1/2} R_{21}(\lambda) \right) f_2 \right] \\ & + e^{-(1-x)(A+\lambda I)^{1/2}} \left[\left(\lambda^{-1} I + (A + \lambda I)^{-1/2} R_{12}(\lambda) \right) f_1 \right. \\ & \left. + \left(\lambda^{-1} (A + \lambda I)^{1/2} + (A + \lambda I)^{-1/2} R_{22}(\lambda) \right) f_2 \right]. \end{aligned}$$

Then, for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, we have

$$\begin{aligned} & |\lambda| \|u\|_{L_p((0,1);H)} + \|u''\|_{L_p((0,1);H)} + \|Au\|_{L_p((0,1);H)} \\ & \leq |\lambda| \left[\|R_{11}(\lambda)\| \left(\int_0^1 \|e^{-x(A+\lambda I)^{1/2}} (A + \lambda I)^{-1/2} f_1\|_H^p dx \right)^{1/p} \right. \\ & + \left(\int_0^1 \|e^{-x(A+\lambda I)^{1/2}} f_2\|_H^p dx \right)^{1/p} + \|R_{21}(\lambda)\| \left(\int_0^1 \|e^{-x(A+\lambda I)^{1/2}} (A + \lambda I)^{-1/2} f_2\|_H^p dx \right)^{1/p} \\ & + \left(\int_0^1 \|e^{-(1-x)(A+\lambda I)^{1/2}} \lambda^{-1} f_1\|_H^p dx \right)^{1/p} \\ & + \|R_{12}(\lambda)\| \left(\int_0^1 \|e^{-(1-x)(A+\lambda I)^{1/2}} (A + \lambda I)^{-1/2} f_1\|_H^p dx \right)^{1/p} \\ & + \left(\int_0^1 \|e^{-(1-x)(A+\lambda I)^{1/2}} \lambda^{-1} (A + \lambda I)^{1/2} f_2\|_H^p dx \right)^{1/p} \\ & \left. + \|R_{22}(\lambda)\| \left(\int_0^1 \|e^{-(1-x)(A+\lambda I)^{1/2}} (A + \lambda I)^{-1/2} f_2\|_H^p dx \right)^{1/p} \right] \\ & + (1 + \|A(A + \lambda I)^{-1}\|) \left[\|R_{11}(\lambda)\| \left(\int_0^1 \|(A + \lambda I)^{1/2} e^{-x(A+\lambda I)^{1/2}} f_1\|_H^p dx \right)^{1/p} \right. \\ & + \left(\int_0^1 \|(A + \lambda I) e^{-x(A+\lambda I)^{1/2}} f_2\|_H^p dx \right)^{1/p} \\ & + \|R_{21}(\lambda)\| \left(\int_0^1 \|(A + \lambda I)^{1/2} e^{-x(A+\lambda I)^{1/2}} f_2\|_H^p dx \right)^{1/p} \\ & \left. + \left(\int_0^1 \|(A + \lambda I) e^{-(1-x)(A+\lambda I)^{1/2}} \lambda^{-1} f_1\|_H^p dx \right)^{1/p} \right] \end{aligned}$$

$$\begin{aligned}
& + \|R_{12}(\lambda)\| \left(\int_0^1 \left\| (A + \lambda I)^{1/2} e^{-(1-x)(A+\lambda I)^{1/2}} f_1 \right\|_H^p dx \right)^{1/2} \\
& + \left(\int_0^1 \left\| (A + \lambda I) e^{-(1-x)(A+\lambda I)^{1/2}} \lambda^{-1} (A + \lambda I)^{1/2} f_2 \right\|_H^p dx \right)^{1/p} \\
& + \|R_{22}(\lambda)\| \left(\int_0^1 \left\| (A + \lambda I)^{1/2} e^{-(1-x)(A+\lambda I)^{1/2}} f_2 \right\|_H^p dx \right)^{1/p} \Big]. \quad (2.8)
\end{aligned}$$

Let us estimate some integrals in the right side of inequality (2.8). First, we estimate the following integral

$$I_1 = \left(\int_0^1 \left\| (A + \lambda I) e^{-(1-x)(A+\lambda I)^{1/2}} \frac{1}{\lambda} (A + \lambda I)^{1/2} f_2 \right\|_H^p dx \right)^{1/p}.$$

From the representation

$$\frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} = A^{1/2} (A + \lambda I)^{-1/2} \frac{1}{\lambda} (A + \lambda I) A^{-1},$$

by [8, lemma 5.4.2 /6], it follows that for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, the operator $\frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2}$ boundedly acts from H to H and we have the estimations

$$\begin{aligned}
\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} \right\|_{B(H)} & \leq \left\| A^{1/2} (A + \lambda I)^{-1/2} \right\|_{B(H)} \left\| \frac{1}{\lambda} I + A^{-1} \right\|_{B(H)} \\
& \leq C \left(\frac{1}{|\lambda|} + \|A^{-1}\|_{B(H)} \right) \leq C, \quad \exists C > 0. \quad (2.9)
\end{aligned}$$

From estimation (2.9), it follows that for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$ the operator $\frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2}$ boundedly acts from $H(A)$ to $H(A)$ and also from $H(A^2)$ to $H(A^2)$ and we have the estimations

$$\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} \right\|_{B(H(A))} = \left\| A \frac{1}{\lambda} (A + \lambda I)^{-1/2} A^{-1/2} A^{-1} \right\|_{B(H)} \leq C. \quad (2.10)$$

$$\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} \right\|_{B(H(A^2))} = \left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} \right\|_{B(H)} \leq C. \quad (2.11)$$

From estimations (2.9), (2.10), and (2.9), (2.11) by the interpolation theorem [22, theorem 1.3.3/(a)] (see. also [8, section 1.7.9]), it follows that for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, the operator $\frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2}$ boundedly acts from $(H(A), H)_{\theta, p}$ to $(H(A), H)_{\theta, p}$ and from $(H(A^2), H)_{\theta, p}$ to $(H(A^2), H)_{\theta, p}$ for any $\theta \in (0, 1)$ and we have the estimations

$$\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} \right\|_{B((H(A), H)_{\theta, p})} \leq C, \quad \exists C > 0 \quad (2.12)$$

$$\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} \right\|_{B((H(A^2), H)_{\theta, p})} \leq C, \quad \exists C > 0. \quad (2.13)$$

By [8, lemma 5.4.2/6 and theorem 5.4.2/1] and estimations (2.9), (2.13), for sufficiently large $|\lambda|$ from angle $|\arg \lambda| \leq \varphi < \pi$, we have

$$\begin{aligned} I_1 &= \left(\int_0^1 \left\| A^{1/2} (A + \lambda I)^{-1/2} (A + \lambda I)^{3/2} e^{-(1-x)(A+\lambda I)^{1/2}} \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_H^p dx \right)^{1/p} \\ &\leq \left\| A^{1/2} (A + \lambda I)^{-1/2} \right\|_{B(H)} \\ &\quad \times \left(\int_0^1 \left\| (A + \lambda I)^{3/2} e^{-(1-x)(A+\lambda I)^{1/2}} \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_H^p dx \right)^{1/p} \\ &\leq C \left(\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_{(H(A^2), H)_{\frac{1}{4} + \frac{1}{4p}, p}} + |\lambda|^{\frac{3}{2} - \frac{1}{2p}} \left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_H \right) \\ &\leq C \left(\|f_2\|_{(H(A^2), H)_{\frac{1}{4} + \frac{1}{4p}, p}} + |\lambda|^{\frac{3}{2} - \frac{1}{2p}} \|f_2\|_H \right). \end{aligned}$$

We now estimate the integral

$$I_2 = \left(\int_0^1 \left\| (A + \lambda I) e^{-(1-x)(A+\lambda I)^{1/2}} \lambda^{-1} f_1 \right\|_H^p dx \right)^{1/p}.$$

By [8, lemma 5.4.2 /6 and theorem 5.4.2./1] and estimations (2.9), (2.12) for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, we get

$$\begin{aligned} I_2 &= \left(\int_0^1 \left\| (A + \lambda I)^{1/2} A^{1/2} e^{-(1-x)(A+\lambda I)^{1/2}} \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_H^p dx \right)^{1/p} \\ &\leq \left\| A^{1/2} (A + \lambda^2 I)^{-1/2} \right\|_{B(H)} \\ &\quad \times \left(\int_0^1 \left\| (A + \lambda I) e^{-(1-x)(A+\lambda I)^{1/2}} \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_H^p dx \right)^{1/p} \\ &\leq C \left(\left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_{(H(A), H)_{\frac{1}{2p}, p}} + |\lambda|^{1 - \frac{1}{2p}} \left\| \frac{1}{\lambda} (A + \lambda I)^{1/2} A^{-1/2} f_2 \right\|_H \right) \\ &\leq C \left(\|f_1\|_{(H(A), H)_{\frac{1}{2p}, p}} + |\lambda|^{1 - \frac{1}{2p}} \|f_1\|_H \right) = C \left(\|f_1\|_{(H(A^2), H)_{\frac{1}{2} + \frac{1}{4p}, p}} + |\lambda|^{1 - \frac{1}{2p}} \|f_1\|_H \right). \end{aligned}$$

The remaining addends of the right side of the inequality are estimated (2.8) in the same way and we get the required estimation (2.3). The theorem is proved.

3 Inhomogeneous equation

We now consider a boundary value problem for an inhomogeneous equation with a quadratic complex parameter in H , i.e. the problem

$$L(\lambda)u := \lambda u(x) - u''(x) + Au(x) = f(x), \quad x \in (0, 1), \quad (3.1)$$

$$\begin{aligned} L_1(\lambda)u &:= u'(0) + \lambda u(1) = f_1, \\ L_2u &:= u(0) = f_2. \end{aligned} \quad (3.2)$$

Theorem 3.1 *Let A be φ -positive operator in H . Then for $f \in L_p((0, 1); H(A^{1/2}))$, $f_k \in (H(A^2), H)_{\frac{3}{4} - \frac{k}{4} + \frac{1}{4p}, p}$, $p \in (1, +\infty)$, and for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, problem (3.1), (3.2) has a unique solution $u \in W_p^2((0, 1); H(A), H)$ and for solving it we have the following non-coercive estimation*

$$\begin{aligned} &|\lambda| \|u\|_{L_p((0,1);H)} + \|u''\|_{L_p((0,1);H)} + \|Au\|_{L_p((0,1);H)} \\ &\leq C \left[|\lambda| \|f\|_{L_p((0,1);H(A^{1/2}))} + \sum_{k=1}^2 \left(\|f_k\|_{(H(A^2),H)_{\frac{3}{4} - \frac{k}{4} + \frac{1}{4p}, p}} + |\lambda|^{\frac{k+1}{2} - \frac{1}{2p}} \|f_k\|_H \right) \right]. \end{aligned} \quad (3.3)$$

Proof. Uniqueness follows from theorem 2.1. Replace the solution of problem (3.1), (3.2), belonging to $W_p^2((0, 1); H(A), H)$, in the form of the sum $u(x) = u_1(x) + u_2(x)$, where $u_1(x)$ is a contraction on the segment $[0, 1]$ of the solution of the equation

$$L(\lambda)\tilde{u}_1(x) := \lambda\tilde{u}_1(x) - \tilde{u}_1''(x) + A\tilde{u}_1(x) = \tilde{f}(x), \quad x \in (-\infty, +\infty), \quad (3.4)$$

where $\tilde{f}(x) := f(x)$, if $x \in [0, 1]$ and $\tilde{f}(x) = 0$, if $x \notin [0, 1]$, and $u_2(x)$ is the solution of the problem

$$\begin{aligned} L(\lambda)u_2 &= 0, \quad x \in (0, 1), \\ L_1(\lambda)u_2 &= f_1 - L_1(\lambda)u_1, \\ L_2u_2 &= f_2 - L_2u_1. \end{aligned} \quad (3.5)$$

As was shown in the proof [8, theorem 5.4.4], the solution of the equation (3.4) is given by the formulas

$$\tilde{u}_1(x) = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{R}} e^{i\mu x} L(\lambda, i\mu)^{-1} F \tilde{f}(\mu) d\mu, \quad (3.6)$$

where $F \tilde{f}$ is a Fourier transformation of the function $\tilde{f}(x)$, and $L(\lambda, \sigma)$ is a characteristic operator pencil of the equation (3.4), i.e.,

$$L(\lambda, \sigma) = -\sigma^2 I + A + \lambda I, \quad |\arg \lambda| \leq \varphi < \pi.$$

From (3.6) it follows that

$$\begin{aligned} \|\tilde{u}_1\|_{W_p^2(\mathbb{R}; H(A^{3/2}), H(A^{1/2}))} &= \|\tilde{u}_1\|_{L_p(\mathbb{R}; H(A^{3/2}))} + \|\tilde{u}_1''\|_{L_p(\mathbb{R}; H(A^{1/2}))} \\ &= \left\| \left(F^{-1} L(\lambda, i\mu)^{-1} F \tilde{f}(\mu) \right) (\cdot) \right\|_{L_p(\mathbb{R}; H(A^{3/2}))} \\ &+ \left\| \left(F^{-1} (i\mu)^2 L(\lambda, i\mu)^{-1} F \tilde{f}(\mu) \right) (\cdot) \right\|_{L_p(\mathbb{R}; H(A^{1/2}))} \end{aligned}$$

$$\begin{aligned} &\leq \left\| \left(F^{-1} A L(\lambda, i\mu)^{-1} F \tilde{f}(\mu) \right) (\cdot) \right\|_{L_p(R; H(A^{1/2}))} \\ &+ \left\| \left(F^{-1} (i\mu)^2 L(\lambda, i\mu)^{-1} F \tilde{f}(\mu) \right) (\cdot) \right\|_{L_p(R; H(A^{1/2}))}. \end{aligned} \quad (3.7)$$

Show that the operator-function (with respect to μ)

$$T_{k+1}(\lambda, \mu) := (i\mu)^{2k} A^{1-k} L(\lambda, i\mu)^{-1}, \quad k = 0, 1, \quad (3.8)$$

are Fourier multiplications in the space $L_p(R; H(A^{1/2}))$. Since for $|\arg \lambda| \leq \varphi < \pi$ and $\mu \in R$, $|\arg(\lambda^2 + \mu^2)| \leq \varphi < \pi$, then by the condition of theorem 3.1 we have the estimations

$$\|L(\lambda, i\mu)^{-1}\|_{B(H)} = \|(A + (\lambda + \mu^2) I)^{-1}\|_{B(H)} \leq \frac{C}{1 + |\lambda + \mu^2|} \leq \frac{C}{\mu^2}; \quad (3.9)$$

$$\begin{aligned} &\|AL(\lambda, i\mu)^{-1}\|_{B(H)} = \|A(A + (\lambda + \mu^2) I)^{-1}\|_{B(H)} \\ &= \|I - (\lambda + \mu^2)(A + (\lambda + \mu^2) I)^{-1}\|_{B(H)} \leq 1 + |\lambda + \mu^2| \frac{C}{1 + |\lambda + \mu^2|} \leq C, \end{aligned} \quad (3.10)$$

uniformly with respect to λ in the angle $|\arg \lambda| \leq \varphi < \pi$.

From estimates (3.9), (3.10), for $|\arg \lambda| \leq \varphi < \pi$ and $\mu \in R$, we get

$$\begin{aligned} \|T_1(\lambda, \mu)\|_{B(H(A^{1/2}))} &= \|AL(\lambda, i\mu)^{-1}\|_{B(H(A^{1/2}))} = \|AL(\lambda, i\mu)^{-1}\|_{B(H)} \leq C; \\ \|T_2(\lambda, \mu)\|_{B(H(A^{1/2}))} &= \|(i\mu)^2 L(\lambda, i\mu)^{-1}\|_{B(H(A^{1/2}))} = \|(i\mu)^2 L(\lambda, i\mu)^{-1}\|_{B(H)} \\ &= |\mu|^2 \cdot \|L(\lambda, i\mu)^{-1}\|_{B(H)} \leq C \end{aligned} \quad (3.11)$$

uniformly with respect to λ is the angle $|\arg \lambda| \leq \varphi < \pi$.

Since

$$\begin{aligned} \frac{\partial}{\partial \mu} T_1(\lambda, \mu) &= A \frac{\partial}{\partial \mu} L(\lambda, i\mu)^{-1} = -AL(\lambda, i\mu)^{-1} \cdot 2\mu L(\lambda, i\mu)^{-1}; \\ \frac{\partial}{\partial \mu} T_2(\lambda, \mu) &= -2\mu L(\lambda, i\mu)^{-1} + \mu^2 L(\lambda, i\mu)^{-1} 2\mu L(\lambda, i\mu)^{-1}, \end{aligned}$$

it follows from (3.9) and (3.10) that

$$\begin{aligned} &\left\| \frac{\partial}{\partial \mu} T_1(\lambda, \mu) \right\|_{B(H(A^{1/2}))} = \|AL(\lambda, i\mu)^{-1} 2\mu L(\lambda, i\mu)^{-1}\|_{B(H(A^{1/2}))} \\ &= \|AL(\lambda, i\mu)^{-1} 2\mu L(\lambda, i\mu)^{-1}\|_{B(H)} \leq C |\mu|; \quad (3.12) \\ &\left\| \frac{\partial}{\partial \mu} T_2(\lambda, \mu) \right\|_{B(H(A^{1/2}))} \leq 2|\mu| \|L(\lambda, i\mu)^{-1}\|_{B(H(A^{1/2}))} \\ &+ |\mu|^2 \|L(\lambda, i\mu)^{-1}\|_{B(H(A^{1/2}))} 2|\mu| \|L(\lambda, i\mu)^{-1}\|_{B(H(A^{1/2}))} = 2|\mu| \|L(\lambda, i\mu)^{-1}\|_{B(H)} \\ &+ |\mu|^2 \|L(\lambda, i\mu)^{-1}\|_{B(H)} 2|\mu| \|L(\lambda, i\mu)^{-1}\|_{B(H)} \leq C |\mu|^{-1}. \end{aligned} \quad (3.13)$$

By [8, theorem 1.3.7/1], estimations (3.11)-(3.13) imply that the operator-functions $\mu \rightarrow T_{k+1}(\lambda, \mu)$, $k = 0, 1$, determined by the equalities (3.8), are Fourier multiplications in the space $L_p((0, 1); H(A^{1/2}))$. Then by (3.7) we have the following estimation

$$\|\tilde{u}_1\|_{W_p^2(R; H(A^{3/2}), H(A^{1/2}))} \leq C \|\tilde{f}\|_{L_p(R; H(A^{1/2}))} \quad (3.14)$$

uniformly with respect to λ .

It follows from (3.14) that $u_1 \in W_p^2((0, 1); H(A^{3/2}), H(A^{1/2}))$ and we have the estimation

$$\|u_1\|_{W_p^2((0,1); H(A^{3/2}), H(A^{1/2}))} \leq C \|f\|_{L_p((0,1); H(A^{1/2}))}. \quad (3.15)$$

By continuity of the embedding $W_p^2((0, 1); H(A^{3/2}), H(A^{1/2})) \subset W_p^2((0, 1); H(A), H)$, from (3.15) we get

$$\|u_1\|_{W_p^2((0,1); H(A), H)} \leq C \|f\|_{L_p((0,1); H(A^{1/2}))}. \quad (3.16)$$

Then from (3.4) and (3.17), for λ from the angle $|\arg \lambda| \leq \varphi < \pi$, we obtain

$$\begin{aligned} |\lambda| \|u_1\|_{L_p((0,1); H)} + \|u_1''\|_{L_p((0,1); H)} + \|Au_1\|_{L_p((0,1); H)} \\ \leq C \|f\|_{L_p((0,1); H(A^{1/2}))}. \end{aligned} \quad (3.17)$$

Indeed, by (3.4) for $u_1(x)$ we have

$$\lambda u_1(x) = f(x) + u_1''(x) - Au_1(x), \quad x \in (0, 1).$$

Hence, in view of (3.16), we get

$$\begin{aligned} |\lambda| \|u_1\|_{L_p((0,1); H)} &\leq \|f\|_{L_p((0,1); H)} + \|u_1''\|_{L_p((0,1); H)} + \|Au_1\|_{L_p((0,1); H)} \\ &\leq \|f\|_{L_p((0,1); H)} + C \|f\|_{L_p((0,1); H(A^{1/2}))} \leq C \|f\|_{L_p((0,1); H(A^{1/2}))}. \end{aligned} \quad (3.18)$$

Then inequality (3.17) follows from inequalities (3.16) and (3.18). By [8, theorem 1.7.7/1], for any fixed $x_0 \in [0, 1]$ and $s = 0, 1$, we have

$$u_1^{(s)}(x_0) \in \left(H(A^{3/2}), H(A^{1/2}) \right)_{\frac{s}{2} + \frac{1}{2p}, p}.$$

Moreover, by [8, lemma 1.7.3/1, 1.7.3/6 and 1.7.3/5], for $s = 0, 1$, we have

$$\left(H(A^{3/2}), H(A^{1/2}) \right)_{\frac{1+s}{2p}, p} = \left(H(A^2), H \right)_{\frac{1}{4} + \frac{1+2s}{4p}, p}.$$

Consequently, for any fixed $x_0 \in [0, 1]$,

$$u_1'(x_0) \in \left(H(A^2), H \right)_{\frac{1}{2} + \frac{1}{4p}, p} = \left(H(A), H \right)_{\frac{1}{2p}, p},$$

$$u_1(x_0) \in \left(H(A^2), H \right)_{\frac{1}{4} + \frac{1}{4p}, p}.$$

Obviously, for λ from the angle $|\arg \lambda| \leq \varphi < \pi$, $\lambda u_1(1) \in \left(H(A^2), H \right)_{\frac{1}{2} + \frac{1}{4p}, p}$, because the embedding $\left(H(A^2), H \right)_{\frac{1}{4} + \frac{1}{4p}, p} \subset \left(H(A^2), H \right)_{\frac{1}{2} + \frac{1}{4p}, p}$ is continuous. Consequently, $L_1(\lambda) u_1 \in \left(H(A^2), H \right)_{\frac{1}{2} + \frac{1}{4p}, p}$, $L_2 u_1 \in \left(H(A^2), H \right)_{\frac{1}{4} + \frac{1}{4p}, p}$.

Then by virtue of Theorem 2.1, for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, for solving problem (3.5) we have

$$\begin{aligned} & |\lambda| \|u_2\|_{L_p((0,1);H)} + \|u_2''\|_{L_p((0,1);H)} + \|Au_2\|_{L_p((0,1);H)} \\ & \leq C \left(\|f_1\|_{(H(A^2),H)_{\frac{1}{2}+\frac{1}{4p},p}} + \|f_2\|_{(H(A^2),H)_{\frac{1}{4}+\frac{1}{4p},p}} + |\lambda|^{1-\frac{1}{2p}} \|f_1\|_H \right. \\ & \quad \left. + |\lambda|^{\frac{3}{2}} \|f_2\|_H + \|u_1'(0)\|_{(H(A^2),H)_{\frac{1}{2}+\frac{1}{4p},p}} + |\lambda| \|u_1(1)\|_{(H(A^2),H)_{\frac{1}{2}+\frac{1}{4p},p}} \right. \\ & \quad \left. + \|u_1(0)\|_{(H(A^2),H)_{\frac{1}{4}+\frac{1}{4p},p}} + |\lambda|^{1-\frac{1}{2p}} \|u_1'(0)\|_H + |\lambda|^{2-\frac{1}{2p}} \|u_1(1)\|_H + |\lambda|^{\frac{3}{2}-\frac{1}{2p}} \|u_1(0)\|_H \right). \end{aligned} \quad (3.19)$$

We estimate the norm $|\lambda| \|u_1(1)\|_{(H(A^2),H)_{\frac{1}{2}+\frac{1}{4p},p}}$. Taking into account continuity of the embedding $(H(A^2), H)_{\frac{1}{4}+\frac{1}{4p},p} \subset (H(A^2), H)_{\frac{1}{2}+\frac{1}{4p},p}$, by [22, theorem 1.8.2] (see also [8, theorem 1.7.7/1]) and estimation (3.15), for λ from the angle $|\arg \lambda| \leq \varphi < \pi$, we have

$$\begin{aligned} & |\lambda| \|u_1(1)\|_{(H(A^2),H)_{\frac{1}{2}+\frac{1}{4p},p}} \leq C |\lambda| \|u_1(1)\|_{(H(A^2),H)_{\frac{1}{4}+\frac{1}{4p},p}} \\ & \leq C |\lambda| \|u_1\|_{W_p^2((0,1);H(A^{3/2}),H(A^{1/2}))} \leq C |\lambda| \|f\|_{L_p((0,1);H(A^{1/2}))}. \end{aligned} \quad (3.20)$$

Furthermore, by [22, theorem 1.8.2] and estimation (3.15), we get the estimations

$$\|u_1'(0)\|_{(H(A^2),H)_{\frac{1}{2}+\frac{1}{4p},p}} \leq C \|u_1\|_{W_p^2((0,1);H(A^{3/2}),H(A^{1/2}))} \leq C \|f\|_{L_p((0,1);H(A^{1/2}))}; \quad (3.21)$$

$$\|u_1(0)\|_{(H(A^2),H)_{\frac{1}{4}+\frac{1}{4p},p}} \leq C \|u_1\|_{W_p^2((0,1);H(A^{3/2}),H(A^{1/2}))} \leq C \|f\|_{L_p((0,1);H(A^{1/2}))}. \quad (3.22)$$

We now estimate the norms $|\lambda|^{1-\frac{1}{2p}} \|u_1'(0)\|_H$, $|\lambda|^{2-\frac{1}{2p}} \|u_1(1)\|_H$ and $|\lambda|^{\frac{3}{2}-\frac{1}{2p}} \|u_1(0)\|_H$.

By [8, theorem 1.7.7/2], for $\lambda \in \mathbb{C}$ and $u_1 \in W_p^2((0,1);H)$, the following inequality is valid

$$|\mu|^k \left\| u_1^{(2-k)}(x_0) \right\|_H \leq C \left(|\mu|^{\frac{1}{p}} \|u_1\|_{W_p^2((0,1);H)} + |\mu|^{2+\frac{1}{p}} \|u_1\|_{L_p((0,1);H)} \right), \quad (3.23)$$

where $x_0 \in [0, 1]$, $s = \{0, 1\}$, $p \in (1, +\infty)$.

Having divided (3.23) by $|\mu|^{\frac{1}{p}}$, and denoting $\lambda = \mu^2$ for $\lambda \in \mathbb{C}$, $|\lambda| \rightarrow \infty$, we get

$$|\lambda|^{\frac{k}{2}-\frac{1}{2p}} \left\| u_1^{(2-k)}(x_0) \right\|_H \leq C \left(\|u_1\|_{W_p^2((0,1);H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right). \quad (3.24)$$

In inequality (3.24) we take $k = 1$, $x_0 = 0$ and multiply the obtained inequality by $|\lambda|^{1/2}$. Then by inequality (3.17), for λ from the angle $|\arg \lambda| \leq \varphi < \pi$, we have

$$\begin{aligned} & |\lambda|^{1-\frac{1}{2p}} \left\| u_1'(0) \right\|_H \leq C |\lambda|^{1/2} \left(\|u_1\|_{W_p^2((0,1);H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right) \\ & \leq C |\lambda|^{1/2} \left(\|u_1\|_{W_p^2((0,1);H(A),H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right) \leq C |\lambda|^{1/2} \|f\|_{L_p((0,1);H(A^{1/2}))}. \end{aligned} \quad (3.25)$$

Now in inequality (3.24), we take $k = 2$ and $x_0 = 1$ and multiply the obtained inequality by $|\lambda|$. Then by virtue of the inequality (3.17), for λ from the angle $|\arg \lambda| \leq \varphi < \pi$ we get

$$|\lambda|^{2-\frac{1}{p}} \|u_1(1)\|_H \leq C |\lambda| \left(\|u_1\|_{W_p^2((0,1),H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right)$$

$$\begin{aligned} &\leq C |\lambda| \left(\|u_1\|_{W_p^2((0,1);H(A),H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right) \\ &\leq C |\lambda| \|f\|_{L_p((0,1);H(A^{1/2}))}. \end{aligned} \quad (3.26)$$

We now take in inequality (3.24), $k = 2$ and $x_0 = 0$ and multiply the obtained inequality by $|\lambda|^{1/2}$. Then by virtue of inequality (3.17), for λ from the angle $|\arg \lambda| \leq \varphi < \pi$ we have

$$\begin{aligned} |\lambda|^{3/2} \|u_1(0)\|_H &= C |\lambda|^{1/2} \left(\|u_1\|_{W_p^2((0,1);H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right) \\ &\leq C |\lambda|^{1/2} \left(\|u_1\|_{W_p^2((0,1);H(A),H)} + |\lambda| \|u_1\|_{L_p((0,1);H)} \right) \leq C |\lambda|^{1/2} \|f\|_{L_p((0,1);H(A^{1/2}))}. \end{aligned} \quad (3.27)$$

Taking into account estimations (3.20), (3.21), (3.22), (3.25), (3.26) and (3.27) in (3.19), for sufficiently large $|\lambda|$ from the angle $|\arg \lambda| \leq \varphi < \pi$, we get

$$\begin{aligned} &|\lambda| \|u_2\|_{L_p((0,1);H)} + \|u_2''\|_{L_p((0,1);H)} + \|Au_2\|_{L_p((0,1);H)} \\ &\leq C \left[|\lambda| \|f\|_{L_p((0,1);H(A^{1/2}))} + \sum_{k=1}^2 \left(\|f_k\|_{(H(A^2),H)^{\frac{3}{4}-\frac{k}{4}+\frac{1}{4p},p}} + |\lambda|^{\frac{k+1}{2}-\frac{1}{2p}} \|f_k\|_H \right) \right]. \end{aligned} \quad (3.28)$$

Now the estimation (3.3) follows from (3.17) and (3.28). The proof of Theorem 3.1 is complete.

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